

ENTITY TAX RESIDENCY SELF-CERTIFICATION DECLARATION

PLEASE READ BEFORE COMPLETING THIS FORM

The UK government has signed a number of inter-governmental agreements to share tax information, where applicable, with the tax authorities in other countries. The requirement to collect certain information about each customer's tax arrangement is part of UK legislation and as a financial institution we are legally obliged to collect it.

We are asking for your Organisation's/Businesses tax residency and tax ID numbers (where applicable) and will record this on our records now, but will only disclose this information to the relevant tax authorities if and when we are required to under UK law.

To find the list of countries that have signed information sharing arrangements, please go to the OECG automatic exchange information portal

<http://www.oecd.org/tax/automatic-exchange/international-framework-for-the-crs/> and <http://www.oecd.org/tax/automatic-exchange/crs-implementation-and-assistance/crs-by-jurisdiction/#d.en.345489>

Your tax residence generally is the country in which you live for more than half the year, but rules differ. Further details are available here:-

www.oecd.org/tax/automatic-exchange/crs-implementation-and-assistance/tax-residency/#d.en.347760

As a financial institution, we, the Vernon Building Society, are not allowed to give tax advice. If you have any questions on how to complete this form we recommend that you speak to your tax authority (for example HMRC in the UK) or your tax or legal adviser

PART 1 – ACCOUNT HOLDER INFORMATION

Legal Name of Entity	Registered Address	Mailing Address <i>(if different)</i>
Country of Incorporation, Organisation or Registration of a Trust		
Global Intermediary Identification Number (GIIN) <i>(Financial Institutions Only)</i>		

PART 2 – ENTITY TYPE

1. In relation to opening this account, please confirm if the Entity is:

- A Financial Institution inc. a professionally managed trust. (Please provide your GIIN in the space above)
Yes No
- A Registered UK Pension Fund
Yes No
- A Registered UK Charity with trustees (or directors if a charitable company) who are tax resident only in the UK
Yes No

IF YOU ANSWERED YES TO ANY OF THE ABOVE QUESTIONS, YOU DO NOT NEED TO FILL OUT THE REST OF THIS FORM

In relation to opening this account, is the Entity:

- An Actively Trading Non-Financial Institution
Yes No If Yes go to Q2
- A Non-Trading Investment Body
Yes No If Yes go to Q2
- Other
Yes No If Yes, detail below Then go to Q2

- 2. Is the Entity resident for tax purposes only in the UK?
Yes Go to Q5 No Go to Q3
- 3. If No to Q2, state if the Entity is resident for tax purposes in the US?
Yes Go to Q5 No Go to Q4
- 4. If no to Q3, state if the Entity is resident for tax purposes in any other country other apart from the UK or US
Yes Go to Q5 No Go to Q6
- 5. If the Entity is a Non-Trading Investment Body, such as a non-professionally managed trust or an investment company
Yes Go to Q5 No Go to Q6
- 6. If you answered No to Q4, please list the full names of each of the Owners/Controllers identified as part of AML/KYC

PART 3 – COUNTRY OF RESIDENCE FOR TAX PURPOSES

Please complete the table below in respect of the Entity indicating:

- (i) The country/countries where the Entity is tax resident; and
- (ii) The Tax Identification Number (TIN) for the country/countries of tax residence.

County/Countries Entity is Tax Resident	Tax Identification Number (TIN)	If not provided, please detail why?

PART 4 – DECLARATION

- I understand that the information I have supplied is covered by the full provisions of the Terms & Conditions governing _____ relationship with the Vernon Building Society, noting the Vernon Building Society may use and share the information I have supplied.
- I acknowledge that the information contained in this form and the information regarding reportable account(s) may be reported to the tax authorities of the country in which this account(s) is/are maintained and exchanged with tax authorities of another country or countries in which I may be tax resident pursuant to intergovernmental agreements to exchange financial account information with the country/countries in which this account(s) is/are maintained.
- I certify that I am authorised to sign for _____ in respect of all of the account(s) to which this form relates.
- I declare that all statements in this declaration are, to the best of my knowledge and belief are correct and complete.
- I undertake to advise the Vernon Building Society within 30 days of any change in circumstances which affects the tax residency status of the account holder identified in Part 1 of this form, or causes the information contained herein to become incorrect (including changes to the information on Owners/Controllers identified earlier), and to provide the Vernon Building Society with a suitably updated self-certification and declaration within up to 30 days of such change in circumstances.

Print Name

Date

Signature

Please indicate the capacity in which you're signing the form (e.g. 'authorised officer'). If signing under a Power of Attorney, please also attach a certified copy of the Power of Attorney.

Capacity